

**ECE Ph.D. PRELIMINARY EXAMINATION
SOLUTIONS – SPRING 2002**

Prob 1

(1)

(1)

(A) Let the potential difference between the plates be V_0 . The E-fields in the two regions are E_2 & E_1 :

$$V_0 = E_1 d_1 + E_2 d_2.$$

At dielectric interface, E is normal,

$$D_1 = D_2 \Leftrightarrow \epsilon_1 E_1 = \epsilon_2 E_2.$$

Thus,

$$E_1 = \frac{V_0}{d_1 + d_2 \frac{\epsilon_1}{\epsilon_2}}.$$

At the ^{top plate} dielectric interface, there is a surface-charge density

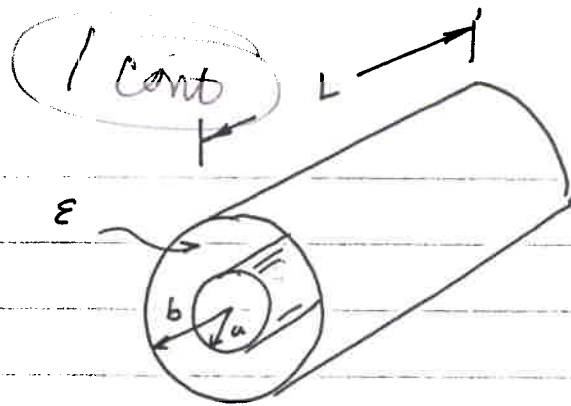
$$\rho_s = D_1 = \epsilon_1 E_1 = \frac{V_0}{\frac{d_1}{\epsilon_1} + \frac{d_2}{\epsilon_2}} = D_2$$

Thus,

$$C = \frac{Q}{V_0} = \frac{\rho_s \overset{\text{area}}{S}}{V_0} = \frac{1}{\frac{d_1}{\epsilon_1 S} + \frac{d_2}{\epsilon_2 S}} = \frac{1}{\frac{1}{C_1} + \frac{1}{C_2}}$$

Probl, cont.

(B)



Capacitance

$$C = \frac{2\pi\epsilon L}{\ln(b/a)}$$

Capacitance / unit length

lim as $a \rightarrow \infty$, $b - a = d = \text{constant}$

$$c \sim \lim_{a \rightarrow \infty} \left[\frac{1}{2\pi a L} C \right] = \lim_{a \rightarrow \infty} \left[\frac{1}{a \ln\left(\frac{a+d}{a}\right)} \right]$$

$$= \lim_{a \rightarrow \infty} \left[\frac{1}{a \ln\left(1 + \frac{d}{a}\right)} \right]$$

small

Note:

$$\begin{aligned} \ln(1+\Delta) &\approx \underbrace{\ln(1)}_0 + \frac{d\ln(x)}{dx} \Big|_{x=1} \Delta + \dots \\ &= \frac{1}{x} \Big|_{x=1} \Delta + \dots = \Delta \end{aligned}$$

So

$$c \sim \lim_{a \rightarrow \infty} \left[\frac{1}{a (d/a)} \right] = 1/d$$

which is the same as the capacitance / unit area of a parallel plate capacitor with spacing between the plates d .

Answer to Problem 2

$$2. a) \lambda_2 = \frac{20 \times 10^9}{100 \times 10^6} = 200 \text{ cm} = 2 \text{ m} \Rightarrow \ell_2 = 0.875 \lambda$$

$$\lambda_1 = \frac{30 \times 10^9}{100 \times 10^6} = 300 \text{ cm} = 3 \text{ m} \Rightarrow \ell_1 = 1.25 \lambda$$

$$Z_{in2} = 60 \left[\frac{60 - j60 + j60 \tan 1.75\pi}{60 + j(60 - j60) \tan 1.75\pi} \right] = 60 \left[\frac{60 - j60 - j60}{60 - j60 - 60} \right]$$

$$\therefore Z_{in2} = 60 \left[\frac{60 - j120}{-j60} \right] = 120 + j60 \text{ } (\Omega)$$

$$Y_{in2} = \frac{1}{120 + j60} = \frac{120 - j60}{18000} = 6.667 \times 10^{-3} - j3.333 \times 10^{-3} \text{ } (S) \quad (v)$$

$$Y_{in A-A'} = \frac{1}{150} = 6.667 \times 10^{-3} \text{ } (S) = Y_{in2} + Y_{stub} \quad \left\{ \begin{array}{l} \text{for max.} \\ \text{power} \end{array} \right.$$

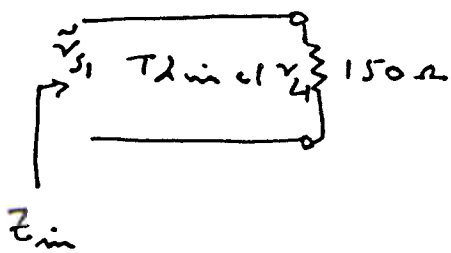
$$\therefore Y_{stub} = +j3.333 \times 10^{-3} \text{ } (S) \quad \left. \begin{array}{l} \text{transfer} \\ \text{at A-A'} \end{array} \right\}$$

$$\therefore Z_{stub} = -j300 \text{ } (\Omega)$$

$$Z_{stub} = 60 \left[\frac{0 + j60 \tan(\beta \ell_3)}{60 + j0 \tan \beta \ell_3} \right] = j60 \tan(\beta \ell_3)$$

$$-j300 = j60 \tan(\beta \ell_3) \Rightarrow \tan(\beta \ell_3) = -5$$

$$\therefore \beta \ell_3 = \frac{1.768}{\cancel{1.768}} \Rightarrow \Rightarrow \ell_3 = \frac{1.768 \times \lambda_2}{2\pi} = \boxed{0.563 \text{ } (m)}$$



$$Z_{in} = 150 \Omega$$

$$V_{s1} = \frac{10 \times 150}{150 + 50} = 7.5 \text{ } (V)$$

} voltage at the input of Tline 1

Power delivered to Transmission Line 1 (2 cont)

$$P_{av1} = \frac{1}{2} \operatorname{Re} \left\{ \tilde{V}_{11} \times \left(\frac{\tilde{V}_{11}}{Z_{in}} \right)^* \right\}$$

$$\therefore P_{av1} = \frac{1}{2} \operatorname{Re} \left\{ 7.5 \times \frac{7.5}{150} \right\} = 0.1875 \text{ (w)}$$

Since the power absorbed by the short circuited stub equals 0 (w), 0.1875 (w) of power is transferred to Transmission Line 2 since the two transmission lines are matched at A-A'.

\therefore Power delivered to Antenna
since the transmission lines are lossless.

$$P_{av} = 0.1875 \text{ (w)}$$

3,

SOLUTION

- a) The air gap power is the power that transfers across the air gap to the rotor. In this case, it is the input power minus the stator copper and core losses.

$$P_{gap} = P_{in} - P_{stator, cu} - P_{core} = 3(480 / \sqrt{3})(50)(0.85) - 1000 - 600 = 33.73kW$$

- b) The mechanical power can be written as the air gap power minus the rotor losses.

$$P_{mech} = P_{gap} - P_{rotor, cu} = 33733 - 500 = 33.23kW$$

- c) The output power is the mechanical power minus the friction and windage loss.

$$P_{out} = P_{mech} - P_{f \& w} = 33233 - 250 = 33.0kW$$

- d) Efficiency is the output power divided by the input power.

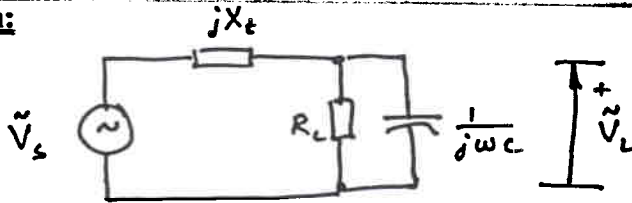
$$\eta = \frac{32984}{3(480 / \sqrt{3})(50)(0.85)} = 93.3\%$$

4

Problem:

The load connected to the three-phase network consists of the Y-connected impedance load of 20Ω /phase, and a Δ -connected impedance load of 60Ω per leg of Δ , connected in parallel. The combined loads are supplied via transmission line of impedance $j1$ Ohms/phase. Calculate per phase capacitance of the 3-phase capacitor bank that needs to be connected in parallel with the two loads in order to raise the load voltage by 5 percent.

Solution:



$Z_{1Y} = 20 \Omega$

$Z_{2Y} = \frac{1}{3} Z_{2\Delta} = \frac{1}{3} 60 = 20 \Omega$

$Z_{eq} = R_L = Z_{1Y} \parallel Z_{2Y} = 10 \Omega$

$Z'_{eq} = R_L \parallel \frac{1}{j\omega C} = \frac{R_L}{1 + j\omega C R_L}$

$\tilde{V}_L(\omega C) = \tilde{V}_s \cdot \frac{Z'_{eq}}{jX_t + Z'_{eq}} = \tilde{V}_s \cdot \frac{\frac{R_L}{1 + j\omega C R_L}}{jX_t + \frac{R_L}{1 + j\omega C R_L}} = \tilde{V}_s \cdot \frac{R_L}{(R_L - \omega C R_L X_t) + jX_t}$

$\left| \frac{\tilde{V}_L(\omega C)}{\tilde{V}_L(0)} \right| = 1.05 = \left| \frac{\tilde{V}_s \cdot \frac{R_L}{(R_L - \omega C R_L X_t) + jX_t}}{\tilde{V}_s \cdot \frac{R_L}{R_L + jX_t}} \right| = \frac{\sqrt{R_L^2 + X_t^2}}{\sqrt{(R_L - \omega C R_L X_t)^2 + X_t^2}}$

$(R_L - \omega C R_L X_t)^2 + X_t^2 = \frac{1}{1.05^2} (R_L^2 + X_t^2)$

$C = \frac{1}{2\pi f R_L X_t} \left[R_L - \sqrt{\frac{1}{1.05^2} (R_L^2 + X_t^2) - X_t^2} \right] =$

$= \frac{1}{2\pi \cdot 60 \cdot 10 \cdot 1} \left[10 - \sqrt{\frac{1}{1.05^2} (10^2 + 1^2) - 1^2} \right] = \frac{0.4811}{2\pi \cdot 60 \cdot 10 \cdot 1} = 127.6 \mu F$

Problem states that impedance is 60Ω /phase. Solution is worked with assumption that 60Ω is per leg of delta.

5
1.

Fall 2001 Prelim Exam Problem #2 Solution
(a) Series-Shunt Feedback Exam

Given Values:

$$R_s := 20 \cdot 10^3 \quad R_{C2} := 14.3 \cdot 10^3 \quad R_1 := 3 \cdot 10^3 \quad R_2 := 12 \cdot 10^3 \quad R_L := 10 \cdot 10^3$$

$$V_{CC} := 15 \quad V_{BE} := 0.7 \quad V_T := .025 \quad \beta := 200 \quad I_{C2} = 1 \cdot 10^{-3}$$

$$I_{E3} := 2 \cdot 10^{-3}$$

DC Biasing Verification - Assume active region of operation.

$$V_{C2} := V_{CC} - R_{C2} \cdot I_{C2} \quad V_{C2} = 0.7$$

$$V_o := V_{C2} - V_{BE} \quad V_o = 0$$

Therefore all BJTs are in their active regions

(b) Open loop voltage gain. First find small signal model parameters.

$$g_{m2} := \frac{I_{C2}}{V_T} \quad g_{m2} = 0.04 \quad I_{C3} = \beta \cdot \frac{I_{E3}}{\beta + 1} \quad I_{C3} = 1.99 \cdot 10^{-3}$$

$$r_{\pi 2} := \frac{\beta}{g_{m2}} \quad r_{\pi 2} = 5 \cdot 10^3 \quad g_{m3} := \frac{I_{C3}}{V_T} \quad g_{m3} = 0.08$$

$$r_{\pi 1} := r_{\pi 2} \quad r_{\pi 3} := \frac{\beta}{g_{m3}} \quad r_{\pi 3} = 2.513 \cdot 10^3$$

Begin analysis with first stage. Find gain from node 1 to node 2 and from node 2 to node 3. The loading of feedback network on input is:

$$R_{B2} := \frac{1}{\left[\frac{1}{R_1} + \frac{1}{R_2} \right]} \quad R_{B2} = 2.4 \cdot 10^3$$

$$R_{inE2} := \frac{r_{\pi 2} + R_{B2}}{\beta + 1} \quad R_{inE2} = 36.816$$

The loading of the feedback on the output is:

$$R_{Lfb} := R_2 \parallel R_1$$

$$R_{Lfb} = 1.5 \cdot 10^4$$

$$R_{inB1} := r_{\pi 1} + (\beta + 1) \cdot R_{inE2} \quad R_{inB1} = 1.24 \cdot 10^4 \quad R_i := R_{inB1} \quad R_i = 1.24 \cdot 10^4$$

$$(c) \quad A_{v12} = \frac{R_{inB1}}{(R_s + R_{inB1})} \quad A_{v12} = 0.383$$

Now for the first stage (Q1) voltage gain which is a common collector configuration.

$$A_{v23} := (\beta + 1) \cdot \frac{R_{inE2}}{R_{inB1}} \quad A_{v23} = 0.597$$

Now for the second stage (Q2) gain which is a common base configuration.

$$R_{L3} := \frac{1}{\left[\frac{1}{R_L} + \frac{1}{R_{Lfb}} \right]} \quad R_{L3} = 6 \cdot 10^3$$

$$R_{inB3} := r_{\pi 3} + (\beta + 1) \cdot R_{L3} \quad R_{inB3} = 1.209 \cdot 10^6$$

5 cont

$$RL2 := \frac{1}{\left[\frac{1}{RC2} + \frac{1}{RinB3} \right]} \quad RL2 = 1.413 \cdot 10^4$$

$$Av34 = \left[\frac{\beta}{\beta - 1} \right] \cdot \frac{RL2}{RinE2} \quad Av34 = 381.967$$

Now for the third stage (Q3) gain which is a common collector configuration.

$$Av45 = (\beta + 1) \cdot \frac{RL3}{RinB3} \quad Av45 = 0.998$$

$$Av25 = Av23 \cdot Av34 \cdot Av45 \quad Av25 = 227.474 \quad \text{Open Loop voltage gain}$$

$$Av15 = Av12 \cdot Av23 \cdot Av34 \cdot Av45 \quad Av15 = 87.058$$

(d) Now determine the feedback factor - use BetaF so as to not be confused with transistor betas.

$$\beta F := \frac{R1}{(R1 + R2)} \quad \beta F = 0.2$$

$$D := 1 + Av25 \cdot \beta F \quad D = 46.495$$

(e) Find the voltage gain with feedback

$$Avf25 := \frac{Av25}{1 + Av25 \cdot \beta F} \quad Avf25 = 4.892$$

(f) Find the input resistance of the amplifier with feedback

$$RinB1f := RinB1 \cdot D \quad RinB1f = 5.765 \cdot 10^5$$

(g) Find the output resistance without feedback, Ro. First we look back into the emitter of Q3.

$$RinE3 := \frac{r\pi3 + RC2}{\beta + 1} \quad RinE3 = 83.644$$

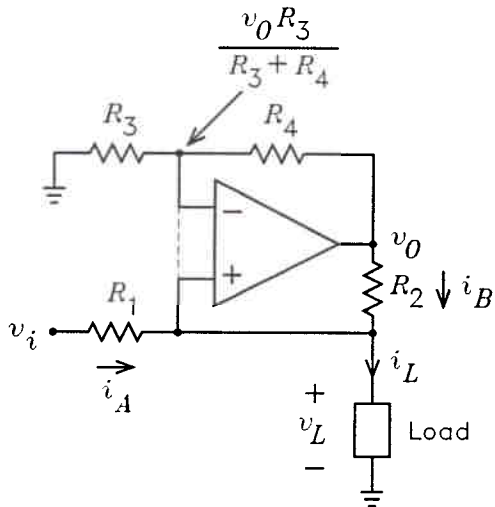
$$Ro := \frac{1}{\left[\frac{1}{RLfb} + \frac{1}{RinE3} \right]} \quad Ro = 83.18$$

(h) Lastly, find the output resistance with feedback, Rof.

$$Rof = \frac{Ro}{D}$$

$$Rof = 1.789$$

Solution:



The load current is given by

$$i_L = i_A + i_B \quad \Rightarrow \quad i_L = \frac{v_I - v_L}{R_1} + \frac{v_O - v_L}{R_2}$$

But there is a virtual short between the two op-amp inputs so that v_L is given by

$$v_L = \frac{v_O R_3}{R_3 + R_4}$$

Thus the equation for i_L becomes

$$i_L = \frac{v_I - \frac{v_O R_3}{R_3 + R_4}}{R_1} + \frac{v_O - \frac{v_O R_3}{R_3 + R_4}}{R_2} = \frac{v_I}{R_1} - \frac{1}{R_1} \frac{v_O R_3}{R_3 + R_4} + \frac{1}{R_2} \frac{v_O R_4}{R_3 + R_4}$$

This is independent of v_O , and thus v_L , if

$$\frac{1}{R_1} \frac{v_O R_3}{R_3 + R_4} = \frac{1}{R_2} \frac{v_O R_4}{R_3 + R_4}$$

which leads to the condition

$$\frac{R_3}{R_1} = \frac{R_4}{R_2}$$

In which case, i_L is given by

$$i_L = \frac{v_I}{R_1}$$

Problem # 1 Solution

(7)

Method 1 :

$$E_f - E_i = -kT \ln \left[\frac{N_A(x)}{n_i} \right]$$

$$= -kT \ln \left[\frac{1e16 e^{(x/5\mu m)}}{1e10} \right]$$

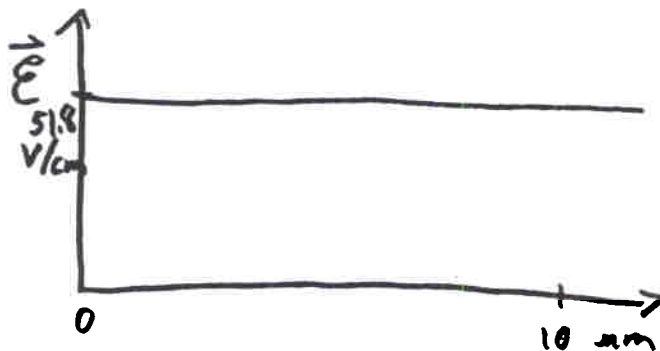
$$= -kT \ln[1e6] - kT \ln[e^{x/5\mu m}]$$

$$E_i = E_f + kT \ln[1e6] + \left(\frac{x kT}{5\mu m} \right)$$

$$\vec{E} = \frac{1}{q} \frac{dE_i}{dx} = \frac{dE_f}{dx} + \frac{kT}{5\mu m}$$

But in equilibrium the Fermi energy is flat (i.e. no net current flow)

$$\vec{E} = \frac{kT}{q} \frac{1}{0.0005} = \frac{0.0259V}{0.0005} = 51.8 V/cm$$



Method 2:

(7) cont

In equilibrium, $J_p = J_n = 0$

$$J_p = \underbrace{q \mu_p p \vec{E}}_{\text{Drift Current}} - \underbrace{q D_p \nabla p}_{\text{Diffusion Current}} = 0$$

$$\vec{E} = \frac{q D_p \nabla p}{q \mu_p p} = \frac{\frac{kT}{q} \nabla p}{p}$$

Einstein Relationship:

$$\frac{kT}{q} = \frac{D_p}{\mu_p}$$

$$E = \frac{kT}{q} \left[\frac{\frac{1}{5 \text{mm}} (1e16 e^{x/5 \text{mm}})}{(1e16 e^{x/5 \text{mm}})} \right]$$

$$= \frac{kT}{q} \frac{1}{0.0005 \text{cm}}$$

$$= 51.8 \text{ V/cm}$$

Plot is the same as previous page.

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Problem #3 - Solution

Using minority carrier diffusion eqn for holes:

$$\frac{\partial \Delta p_n}{\partial t} = D_p \frac{\partial^2 \Delta p_n}{\partial x^2} - \frac{\Delta p_n}{\tau_p} + G_L$$

Situation: steady-state, no concentration gradient

$$\Rightarrow 0 = -\frac{\Delta p_n}{\tau_p} + G_L$$

$$\Rightarrow \Delta p_n = \Delta n_n = G_L \tau_p = 10^{15} \text{ cm}^{-3}$$

$$(a) n = n_0 + \Delta n \approx \boxed{10^{17} \text{ cm}^{-3}}$$

$$p = p_0 + \Delta p = \frac{n_i^2}{n_0} + \Delta p \approx \boxed{10^{15} \text{ cm}^{-3}}$$

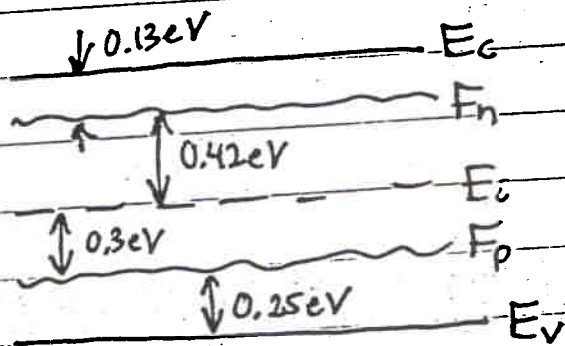
Problem #3 (cont.)

$$(b) n = n_i e^{(F_n - E_i)/kT} \Rightarrow F_n - E_i = kT \ln\left(\frac{n}{n_i}\right) = 0.42\text{eV}$$

$$p = n_i e^{(E_i - F_p)/kT} \Rightarrow E_i - F_p = kT \ln\left(\frac{p}{n_i}\right) = 0.3\text{eV}$$

$$\Rightarrow F_n - F_p = (F_n - E_i) + (E_i - F_p) = \boxed{0.72\text{eV}}$$

(c) Not to ~~scale~~ scale:



Solution

9

Consider the following MIPS program subroutine.

address	label	instruction
1000	start:	addi \$2, \$0, 1
1004	loop:	slti \$3, \$1, 2
1008		bne \$3, \$0, skip1
1012		mul \$2, \$1, \$2
1016		addi \$1, \$1, -1
1020		beq \$0, \$0, loop
1024	skip1:	jr \$31

Part A If $\$1 = 5$ when start is called, how many times is the mul instruction at address 1012 executed?

number of executed mul instructions: 4

Part B What is the branch offset (in bytes) for the beq instruction at address 1020?

branch offset (in bytes): $-5 * 4 = -20$

Part C If $\$31$ contains the value 5000 (in decimal), what is the address of the jal instruction that called this subroutine?

jal address (in decimal): 4996

Part D After this subroutine completes, which register contains the results computed by this subroutine?

result register: 120

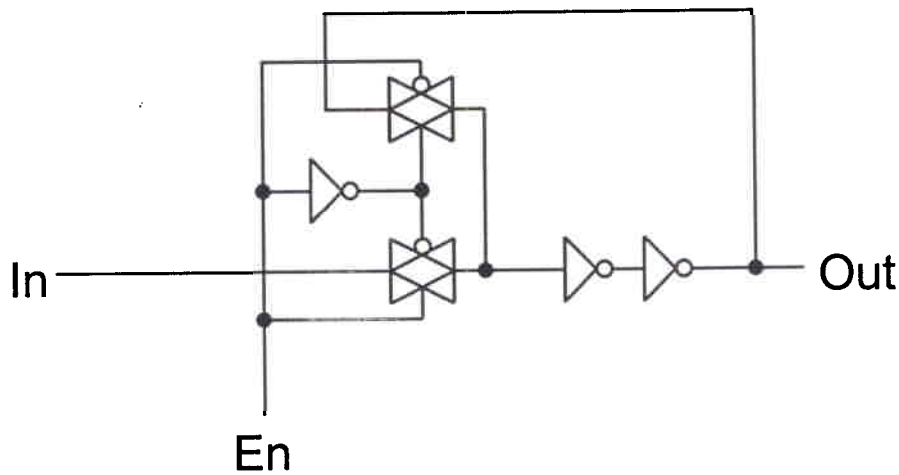
Part E What mathematical function does this program subroutine accomplish?

This fragment computes: factorial of register one (\$1)

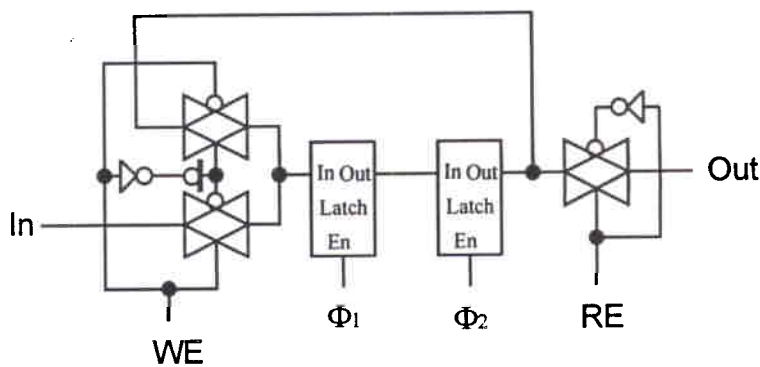
Solution

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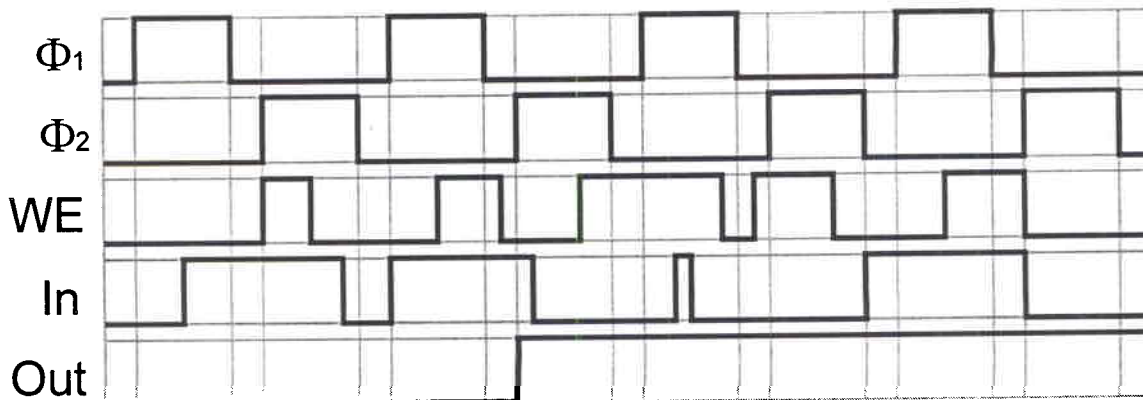
Part A Implement a transparent latch using only pass gates and inverters. Label the inputs **In** and **En**, and the output **Out**.



Part B Implement a register with write enable and read enable using transparent latches, pass gates, and inverters. Use an icon for the transparent latches. Label the inputs **In**, **WE**, **RE**, Φ_1 , Φ_2 and the output **Out**.



Part C Assume the following signals are applied to your register. Draw the output signal **Out**. Assume **Out** starts at zero and **RE** = 1.



Solution

11

1. Consider a byte addressable memory hierarchy with 32 bit addressing and L1 and L2 caches, both with block size of 4 words (16 bytes). Suppose the 32 Kilobyte L1 cache is direct mapped and write through, and the 512 Kilobyte L2 cache is 4-way set associative with a random replacement policy. Also assume that both caches allocate on either a read miss or a write miss. Show that for this configuration, if a block is in L1 it is also in L2, and if it is modified in L1, it is modified in L2 (This property is known as multi-level inclusion, and implies that one only need check L2 to determine the status of a block). Hint: Assume that the property holds initially (for example, when both caches are empty, the property holds trivially) and show that for any possible event that can occur with respect to a block in either cache, the property still holds after the event.

Consider the L1 cache: It has 2^{15} bytes and 2^{11} blocks and sets (since it is direct mapped. The address $(a_{31} \dots a_0)$ is partitioned as (from lsb to msb) 4 bits of offset $(a_3 - a_0)$, 11 bits of index $(a_{14} - a_4)$, and 17 bits of tag $(a_{31} - a_{15})$.

Similarly, the L2 cache has 2^{19} bytes, 2^{15} blocks, and 2^{13} sets (since there are 4 blocks per set. The address for the L2 is partitioned as 4 bits of offset $(a_3 - a_0)$, 13 bits of index $(a_{16} - a_4)$, and 15 bits of tag $(a_{31} - a_{17})$.

To show that a block present in L1 is also present (in the same state) in L2, we have the following steps:

1. A miss in L1 only will replace a block in L1 from a block present in L2. Inclusion is preserved.
2. A write in L1 is written through to L2 and inclusion is preserved.
3. A miss in L1 and L2 is allocated in both L1 and L2 and inclusion is preserved for the address which missed.
4. A miss in L1 and L2 require a victim in both L1 and L2. Since for each address the L1 index is a subset of the L2 index, the L2 victim which is selected from the set specified by the L2 index, must map to the block specified by the L1 index. That is all possible victims map to the same block in L1. Consequently, the victim in L2 cannot remain in L1, and inclusion is preserved.

Virtual Memory Systems

Assume a 16-entry direct-mapped TLB is used in a system with 32-bit virtual byte addresses, 24-bit physical memory byte addresses, and 4096 byte pages. For the sequence of virtual addresses shown below in hex, state whether each address causes a TLB hit or miss and show the physical address generated. Portions of the initial contents of the TLB and the page table are given. Assume all listed page table entries are valid. Show the final contents of the TLB after this sequence of addresses is accessed.

Virtual address sequence

Address	Page Offset	Hit/Miss	Physical Address
00000171	171	H	200 171
00022CE2	CE2	H	A00 CE2
00023AE3	AE3	M	E20 AE3
00001012	012	M	F00 012
00000EE1	EE1	H	200 EE1
00020153	153	M	720 153

TLB Tag

TLB Block Address

TLB Initial Contents

Block	Tag	Data
0	0000 0002	200 720
1	0002 0000	E00 F00
2	0002	A00
3	0020 0002	E20

TLB Final Contents

Block	Tag	Data
0	0002	720
1	0000	F00
2	0002	A00
3	0002	E20

Page Table Initial Contents

Virtual Page #	Data
00000	200
00001	F00
00002	550
00003	300
...	
00020	720
00021	C00
00022	A00
00023	E20

Questionis Preliminari (de Systemibus et Controlibus)

PROVE THAT IF INTEGRAL FEEDBACK IS USED ON A STRICTLY PROPER SYSTEM, THE CENTER OF GRAVITY OF THE CLOSED LOOP POLES ARE INDEPENDENT OF THE GAIN.

Solution:

Let the transfer function be $H(s) = \frac{b(s)}{a(s)}$. By assumption,

$$\begin{aligned}
a(s) &= s^n + a_1s^{n-1} + \dots \\
b(s) &= b_1s^{n-1} + b_2s^{n-2} + \dots
\end{aligned}$$

With input $u(t) = v(t) - \int^t ky(\tau) d\tau$, the closed loop has transfer function

$$H_k(s) = \frac{sb(s)}{sa(s) + kb(s)}$$

The characteristic polynomial is

$$sa(s) + kb(s) = s^{n+1} + a_1s^n + (a_2 + b_1)s^{n-1} + \dots \tag{1}$$

Now recall that if a system has n poles μ_1, \dots, μ_n , then its characteristic polynomial is

$$\alpha(s) = (s - \mu_1) \dots (s - \mu_n) = s^n - (\mu_1 + \dots + \mu_n)s^{n-1} + \dots$$

Thus the coefficient of the $(n - 1)$ st term is

$$\alpha_1 = -(\mu_1 + \dots + \mu_n) = -n \{CG \text{ of poles}\}.$$

Applying to the $(n+1)$ st order closed loop characteristic equation (1), we get thus for the closed loop system, the center of gravity the poles

$$-\frac{a_1}{n+1},$$

which is independent of k . QED

Discussion:

The problem requires

1. understanding the relation between poles and coefficients in characteristic polynomial
2. knowledge of integral feedback
3. knowledge of transfer function reduction
4. knowledge of basic idea of root locus
5. knowledge of the meaning of "CG of the poles"
6. knowledge of the notion "strictly proper"

Solution

a

If we let x_1, x_2 denote the position and velocity of the mass respectively, we can let the state be $x = (x_1, x_2)^T$. We get

$$\begin{aligned}\dot{x} &= \begin{pmatrix} 0 & 1 \\ -k/m & 0 \end{pmatrix} x + \begin{pmatrix} 0 \\ 1/m \end{pmatrix} u \\ y &= (1 \ 0) x.\end{aligned}$$

b

The characteristic equation of the unforced system is

$$r^2 + \frac{k}{m} = 0,$$

with imaginary roots

$$r = \pm i \sqrt{\frac{k}{m}}.$$

Hence $y(t)$ is given by

$$y(t) = c_1 \cos\left(\sqrt{\frac{k}{m}}t\right) + c_2 \sin\left(\sqrt{\frac{k}{m}}t\right),$$

with

$$\begin{aligned}c_1 &= y_0 \\ c_2 &= \sqrt{\frac{m}{k}} \dot{y}_0.\end{aligned}$$

c

Two poles in -1 gives the desired characteristic polynomial as

$$(\lambda + 1)^2 = \lambda^2 + 2\lambda + 1.$$

Using state feedback $u(t) = (f_1, f_2)x(t)$ gives the closed-loop system

$$\dot{x} = \begin{pmatrix} 0 & 1 \\ -k/m + f_1/m & f_2/m \end{pmatrix} x.$$

The characteristic polynomial is

$$\det \left[\begin{pmatrix} \lambda & -1 \\ k/m - f_1/m & \lambda - f_2/m \end{pmatrix} \right] = \lambda^2 - \frac{f_2}{m} \lambda + \frac{k - f_1}{m}.$$

Identification of the coefficients gives that

$$\begin{aligned}-f_2/m &= 2 \\ (k - f_1)/m &= 1,\end{aligned}$$

i.e. $f_1 = k - m$ and $f_2 = -2m$ gives the desired pole placement.

14, cont

d

We let $u = gy$, which gives the closed-loop system

$$\dot{x} = \begin{pmatrix} 0 & 1 \\ -k/m + g/m & 0 \end{pmatrix} x,$$

with characteristic polynomial

$$\lambda^2 + \frac{k-g}{m}.$$

But, since we demand that the coefficient in front of λ is 2 we can not place the poles in -1 no matter what g is.

Solutions for 3075 Prelim problems for 2001-2002

Problem 1:

- a) U is a Gaussian RV because it is the sum of two jointly distributed Gaussian RVs. Therefore, to specify the PDF of U , we need only the mean and variance of U .

$$E(U) = E\left([X, Y] \begin{bmatrix} 4 \\ -3 \end{bmatrix}\right) = [0 \quad -3] \begin{bmatrix} 4 \\ -3 \end{bmatrix} = 9$$

$$\begin{aligned} \text{Var}(U) &= E\left([U - E(U)]^2\right) = E\left\{A[W - E(W)]^T\right\}^2 = AE\left([W - E(W)]^T [W - E(W)]\right)A^T \\ &= AC_w A^T = [4, -3] \begin{bmatrix} 2.0 & -0.4 \\ -0.4 & 1.0 \end{bmatrix} \begin{bmatrix} 4 \\ -3 \end{bmatrix} = 50.6 \end{aligned}$$

Therefore, the PDF of U is

$$f_U(u) = \frac{1}{\sqrt{2\pi 50.6}} \exp\left(-\frac{(u-9)^2}{101.2}\right) \quad \text{for all } u$$

- b) Recall $\text{Cov}(X, Y) = E(XY) - \mu_X \mu_Y$. Then,
 $E(XY) = \text{Cov}(X, Y) + \mu_X \mu_Y = -0.4 + 0 = -0.4$

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Problem 2:

For $1 < Y < 3$, there will be one solution, $X = (3 - Y)/2$. The derivative of the function in this region is -2 . Therefore, for $1 < Y < 3$,

$$f_Y(y) = \frac{f_X\left(\frac{3-y}{2}\right)}{|-2|} = \frac{3 \exp\left(-3 \left[\frac{3-y}{2}\right]\right)}{2} = \frac{3}{2} \exp\left(\frac{3}{2}[3-y]\right)$$

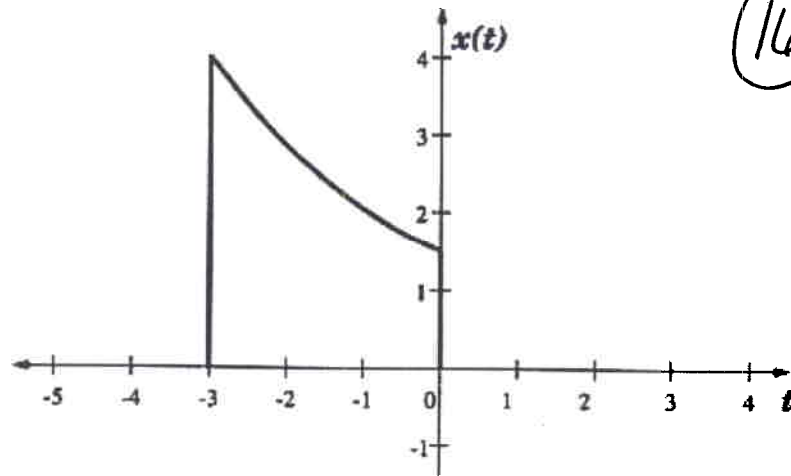
The PDF will be zero everywhere else except at $Y=1$, where there is an impulse of area

$$\int_1^{+\infty} 3 \exp(-3x) dx = -\exp(-3x) \Big|_1^{+\infty} = \exp(-3)$$

Therefore, the PDF of Y is

$$f_Y(y) = \begin{cases} \frac{3}{2} \exp\left(\frac{3}{2}[3-y]\right) + \exp(-3)\delta(y-1) & 1 \leq y < 3 \\ 0 & \text{otherwise} \end{cases}$$

(a)



(16)

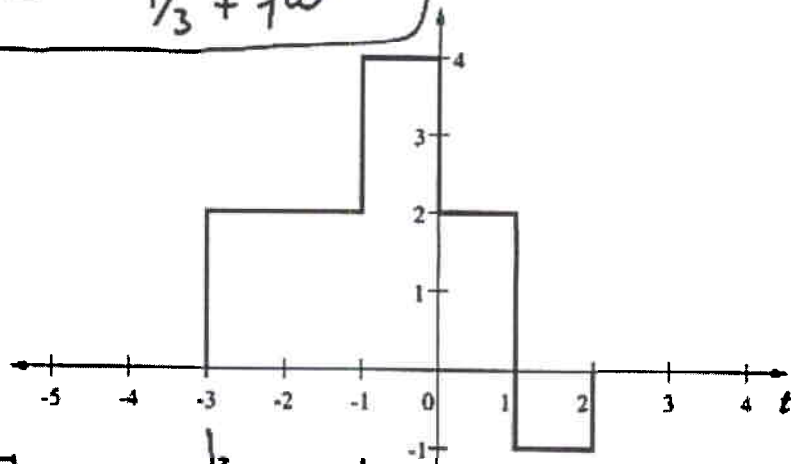
Where $x(t) = 4e^{-(t+3)/3} [u(t+3) - u(t)]$.

$$\begin{aligned} x(t) &= 4e^{-(t+3)/3} u(t+3) - 4e^{-(t+3)/3} u(t) \\ &= 4e^{-\frac{(t+3)}{3}} u(t+3) - 4e^{-1} e^{-t/3} u(t) \end{aligned}$$

$$X(j\omega) = \frac{4}{1/3 + j\omega} e^{j3\omega} - \frac{4e^{-1}}{1/3 + j\omega}$$

$$X(j\omega) = \frac{4(e^{-j3\omega} - e^{-1})}{1/3 + j\omega}$$

(b)



$$x(t) = \begin{array}{c} \text{rect}_{[-3, 1]}(t) \\ + \\ \text{rect}_{[-1, 1]}(t) \\ + \\ \text{rect}_{[1, 2]}(t) \end{array} =$$

$$X(j\omega) = 2 \frac{\sin(\omega)}{\omega/2} e^{j\omega} + 2 \frac{\sin(\omega/2)}{\omega/2} e^{j\omega/2} - \frac{\sin(\omega/2)}{\omega/2} e^{-j\omega/2}$$

Note: there are many possible answers.

(17)

Prelim Solution

Data Structures/Algorithms

Consider the following program written in pseudocode:

```
FOO(u, v)
  if v=0
  then return u
  else return FOO(v, u mod v)
```

Part A. How many stack activation frames are created in executing FOO(18, 12)?

3 stack activation frames.

Part B. Describe in one sentence what FOO computes.

FOO computes the greatest common divisor of its two integer inputs u and v.

Part C. Give an expression for the number of nodes n in a balanced tree having a constant branching factor of b and a height of h .

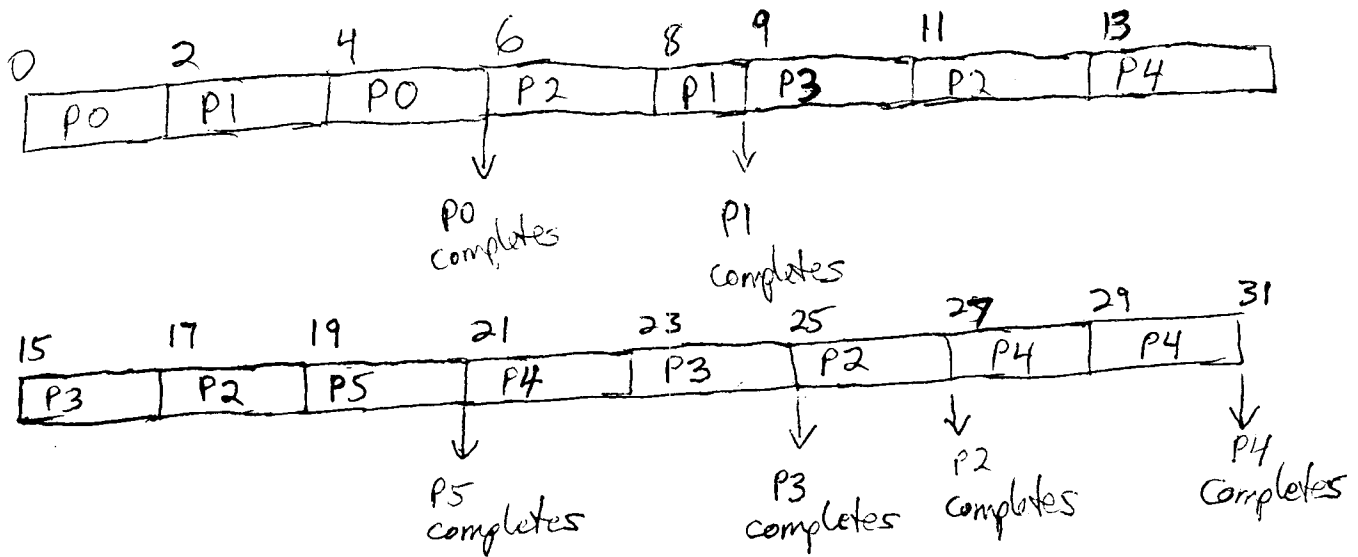
$$n = \sum_{i=0}^h b^i$$

Computer Science Prelim Question

Consider the following set of processes, their arrival times, and the CPU times they require.

Process	Arrival Time	CPU Time
P0	0 ms	4 ms
P1	1 ms	3 ms
P2	3 ms	8 ms
P3	7 ms	6 ms
P4	9 ms	8 ms
P5	14 13 ms	2 ms

Show the schedule generated by a round robin scheduling algorithm with a time slice of 2 ms and calculate the average waiting time of a process for this schedule.



$$WT_0 = 6 - 4 = 2 \text{ ms}$$

$$WT_1 = 9 - 3 - 1 = 5 \text{ ms}$$

$$WT_2 = 27 - 8 - 3 = 16 \text{ ms}$$

$$WT_3 = 25 - 6 - 7 = 12 \text{ ms}$$

$$WT_4 = 31 - 8 - 9 = 14 \text{ ms}$$

$$WT_5 = 21 - 2 - 14 = 5 \text{ ms}$$

$$A.W.T. = \frac{2 + 5 + 16 + 12 + 14 + 5}{6}$$

$$A.W.T. = 9 \text{ ms}$$

(19)

Question 1 – Nyquist's Law

According to Nyquist, if a digital encoder can NRZ encode 32 levels per time slot of 10 microseconds, what is the:

- [5] Bits per symbol.
- [100,000 /s] Baud Rate (Baud/s).
- [500,000 b/s] Capacity (bits per second).
- [50,000 Hz] Bandwidth required (Hz).

Problem # 6 Solution

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This problem consists of **two parts**, part (a) and part (b).

An initially bare silicon wafer is to be oxidized in a dry oxygen ambient. Assume that the oxidation follows the standard Deal-Grove model with the following constants:

Temperature (°C)	A (microns)	B (microns ² per hour)
1000	0.165	0.0117
1100	0.090	0.027

(a) At a temperature of 1000 °C, calculate the time necessary to grow an oxide of 0.2 micron thickness on the wafer

(b) After the initial oxidation, the wafer is put back into the furnace at a temperature of 1100 °C for 1 hour. Calculate the thickness of the oxide after this second oxidation.

(Hint: after the second oxidation, the oxide should be thicker than 0.2 microns!!)

Solution:

Deal-Grove Model:

$$d_o^2 + A d_o = B (t + \tau) \text{ and } \tau = (d_i^2 + A d_i) / B,$$

where d_o is oxide thickness and d_i is initial oxide thickness

~~X delete~~

give this in problem statement

(a) at 1000 C, wafer is initially bare so $\tau = 0$ and: $t = 0.37$ hours

$$t = \frac{(0.2^2 + (0.165) * 0.2)}{(0.0117)} = 6.2 \text{ hour} \quad t = \frac{x_o^2 + A x_o}{B} - \tau = \frac{0.2^2 + (0.165)(0.2)}{0.0117} - 0.37$$

(b) now $d_i = 0.2$ microns and $T = 1100$ C and $t = 1$ hour so:

$$t = 5.87 \text{ hours}$$

$$\tau = (0.2^2 + (0.09) * 0.2) / 0.027 = 2.1 \text{ hour}$$

$$\text{and } d_o^2 + 0.09 d_o = 0.027 * (1 + 2.1)$$

or:

$$d_o^2 + 0.09 d_o - 0.0837 = 0$$

Using quadratic formula:

$$d_o = 0.248 \text{ micron}$$

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Group Velocity

The group velocity is defined by

$$v_g = \frac{d\omega}{dk}$$

Using

$$k = \frac{n\omega}{c}$$

it is evaluated to be

$$v_g = \frac{1}{\frac{dk}{d\omega}} = \frac{1}{\frac{d(\frac{n\omega}{c})}{d\omega}} = \frac{1}{\left[\frac{dn}{d\omega}\left(\frac{\omega}{c}\right) + \frac{n}{c}\frac{d\omega}{d\omega}\right]} = \frac{c}{\left(\frac{dn}{d\omega}\omega + n\right)}$$

Further

$$\frac{dn}{d\omega} = \frac{dn}{d\lambda} \frac{d\lambda}{d\omega}$$

$$\lambda = fc$$

$$\frac{d\lambda}{d\omega} = \frac{c}{2\pi}$$

$$\frac{dn}{d\omega} \omega = \frac{dn}{d\lambda} \frac{c}{2\pi} \frac{2\pi\lambda}{c} = \frac{dn}{d\lambda} \lambda$$

and so

$$v_g = \frac{c}{\left(n - \lambda \frac{dn}{d\lambda}\right)}$$

Two Lens Combination

Two thin positive lenses L_1 and L_2 with focal lengths f_1 and f_2 respectively are separated by a distance d . The separation distance is less than the sum of their focal lengths. An object is placed in front of L_1 a distance $u_1 > f_1$.

- What is the position, of the image?
- What is the magnification,?
- What is the orientation of the image?

For a) and b) leave your answer in terms of the focal lengths, the object distance and the separation d

Using Gauss' lens equation, the relations for L_1 is:

a)

$$\frac{1}{v_1} = \frac{1}{f_1} - \frac{1}{u_1}$$

where v_1 is the image distance.

Solving for the image distance gives $v_1 = u_1 f_1 / (u_1 - f_1)$.

The position of the object for L_2 is given by:

$$v_2 = \frac{u_2 f_2}{u_2 - f_2} = \frac{(d - v_1) f_2}{(d - v_1 - f_2)}$$

Substituting in for v_1 gives:

$$v_2 = \frac{f_2 [d - f_1 u_1 / (u_1 - f_1)]}{[d - f_1 u_1 / (u_1 - f_1) - f_2]}$$

b.) The magnification of the combination is given by:

$$m = \left(\frac{f_1}{u_1 - f_1} \right) \left(\frac{f_2}{d - v_1 - f_2} \right)$$

$m = m_1 m_2$

negative

c.) The image is inverted.

Show your work. No credit will be given if you only provide the answer. Give units where appropriate.

Make the conventional assumption throughout that the transmembrane voltage is the intracellular potential minus the extracellular potential and that positive ionic currents flow from *i*(intracellular) to *o*(extracellular).

Consider the axon of a giant sea worm living at the bottom of the ocean in the Marianas Trench. The axon of this giant sea worm has the following intracellular and extracellular concentrations: $c_{o,K} = 2.2\text{mM}$, $c_{i,K} = 100\text{mM}$, $c_{o,Na} = 109\text{mM}$, $c_{i,Na} = 16\text{mM}$, $c_{o,Cl} = 80\text{mM}$, $c_{i,Cl} = 5\text{mM}$. The resting potential is -97mV . Note: K, Na, Cl = potassium, sodium and chloride ions respectively

- (3 pts) Find the Nernst potential associated with each of these ions.
- (3pts) Consider the axon at rest. In what direction are the ions flowing? Are any ions in equilibrium or nearly in equilibrium? If so, which ones?
- (4pts) From the Goldman-Hodgkin-Katz equation provided below, calculate the ratio of the permeabilities of the membrane to the ions which are not close to being in equilibrium. For example, $P_K:P_{Na}$ if Cl is close to equilibrium. That is to say, neglect the participation of the ion which is closest to equilibrium.

$$V_{rest} = 25.8\text{mV} \ln \frac{P_K c_{o,K} + P_{Na} c_{o,Na} + P_{Cl} c_{o,Cl}}{P_K c_{i,K} + P_{Na} c_{i,Na} + P_{Cl} c_{i,Cl}}$$

$$a) V_K = \frac{RT}{F} \ln \left(\frac{C_{o,K}}{C_{i,K}} \right) = 25 \ln \left(\frac{2.2\text{mM}}{100\text{mM}} \right) = -95.4\text{mV}$$

$$V_{Na} = 25 \ln \left(\frac{109\text{mM}}{16\text{mM}} \right) = 48\text{mV}$$

$$V_{Cl} = \frac{25}{-1} \ln \left(\frac{80\text{mM}}{5\text{mM}} \right) = -69.7\text{mV}$$

$$b) V_{rest} = -97\text{mV}$$

K: $V_{rest} - V_K = -1.6\text{mV} \Rightarrow$ very little driving force, i.e. \sim in equilibrium
 $I_K = g_K (V_{rest} - V_K) < 0 \Rightarrow$ K flows slightly *o* to *i*

Na: $I_{Na} = g_{Na} (V_{rest} - V_{Na}) = g_{Na} (-97 - 48) = g_{Na} (-145\text{mV}) < 0 \Rightarrow$ *o* to *i*

Cl: $I_{Cl} = g_{Cl} (V_{rest} - V_{Cl}) = g_{Cl} (-97 - -69.7) = g_{Cl} (-27.3\text{mV}) < 0 \Rightarrow$ *o* to *i*
K is nearly in equilibrium

c) K ~ in equl, so

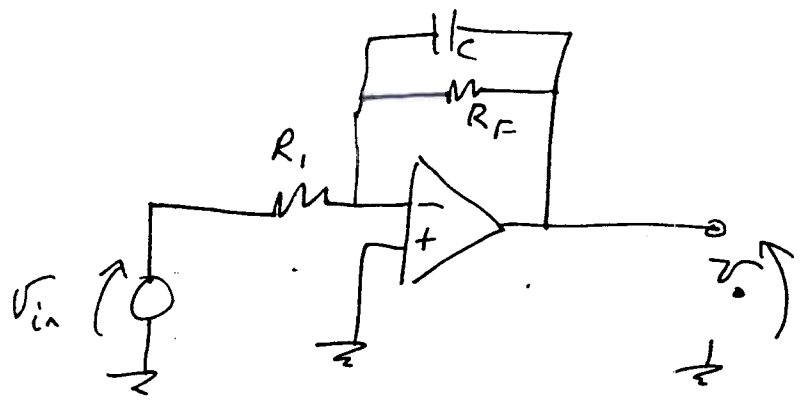
$$V_{rest} = 25.8 \ln \left[\frac{P_{Na} C_{o,Na} + P_{Cl} C_{i,Cl}}{P_{Na} C_{i,Na} + P_{Cl} C_{o,Cl}} \right] = -97 \text{ mV}$$

$$\frac{P_{Na} C_{o,Na} + P_{Cl} C_{i,Cl}}{P_{Na} C_{i,Na} + P_{Cl} C_{o,Cl}} = e^{-97/25.8} = 2.33 \times 10^{-2}$$

$$P_{Na} (C_{o,Na} - 2.33 \times 10^{-2} C_{i,Na}) = P_{Cl} (2.33 \times 10^{-2} C_{o,Cl} - C_{i,Cl})$$

$$\left| \frac{P_{Na}}{P_{Cl}} \right| = \left| \frac{(2.33 \times 10^{-2} \cdot 80 \text{ mM} - 5 \text{ mM})}{(-2.33 \times 10^{-2} \cdot 16 \text{ mM} + 109)} \right| = \left| \frac{+3.14}{108.6} \right| = 2.89 \times 10^{-2}$$

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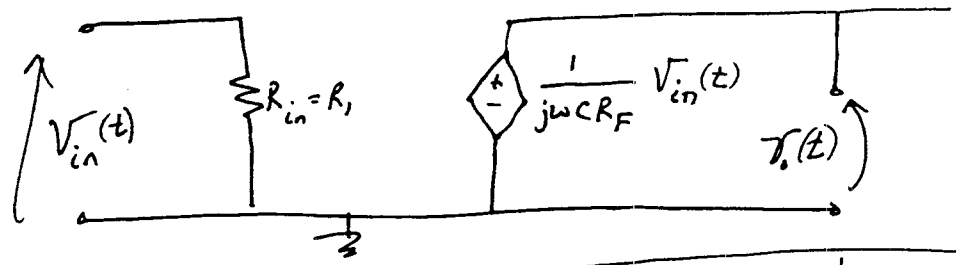
$R_{input} = 10 \text{ k}\Omega$
 $\tau = 1.2 \text{ ms}$
 $R_2 = R_F$

$$\frac{V_o(s)}{V_i(s)} = \frac{V_o(j\omega)}{V_i(j\omega)} = - \left(\frac{\frac{R_F \frac{1}{j\omega C}}{R_F + \frac{1}{j\omega C}}}{R_1} \right) = \frac{-1}{j\omega C R_1} - \frac{R_F}{R_1}$$

$\tau = C R_F$
 $\frac{V_o}{V_i} = \text{DC Gain} = \left| -\frac{R_2}{R_1} \right| = \left| \frac{R_F}{R_1} \right| = 100 \Rightarrow R_F = R_1 (100)$

$R_{input} = R_1 = 10 \text{ k}\Omega \Rightarrow R_F = R_2 = 1 \text{ M}\Omega$

If $\tau = 1.2 \times 10^{-3} \text{ seconds} = C R_F$
 $1.2 \times 10^{-3} = (1 \times 10^6)(C)$
 $C = 1.2 \times 10^{-9} \text{ F} = 1.2 \text{ nF}$



$\omega_{3dB} = \frac{1}{R_2 C} = \frac{1}{R_F C} = 833 \text{ Hz}$